



OFFICE OF FINANCE  
REFUND BRANCH

IN THE U.S. PATENT AND TRADEMARK OFFICE

DCP/Ref  
PATENT #307  
3722-0115P  
#6/Reg for  
refund  
06-17-03

Applicant: PATENT & TRADEMARK OFFICE, Hung-Chen et al. Conf.: 9256  
Appl. No.: 10/020,160 Group: 2631  
Filed: December 18, 2001 Examiner: UNASSIGNED  
For: DECODING CIRCUIT AND METHOD OF A VITERBI DECODER  
Patent No.: Issued:  
Control No.:

Assistant Commissioner for Patents  
Washington, DC 20231

April 18, 2003

ATTN: W.P. ERWIN, DIRECTOR OF FINANCE  
REFUND SECTION  
ACCOUNTING DIVISION  
OFFICE OF FINANCE

REQUEST FOR REFUND  
(Improper Charge of Deposit Account)

I. REFUND REQUEST

This is a request for a refund with respect to the charge to Deposit Account  
02-2448 shown on the statement dated April 1, 2002 for the above-identified

- ☒ application  
☐ patent  
☒ A copy of the monthly statement in which the error referred to occurs, accompanies this request.

## II. FEES CHARGED FOR WHICH REFUND REQUESTED

	AMOUNT OF REFUND REQUESTED
<input type="checkbox"/> filing fee	_____
<input type="checkbox"/> surcharge for filing the basic filing on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
and/or	
<input checked="" type="checkbox"/> surcharge for filing the English translation on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	<u>130.00</u>
<input type="checkbox"/> extension of term	
<input type="checkbox"/> first month	_____
<input type="checkbox"/> second month	_____
<input type="checkbox"/> third month	_____
<input type="checkbox"/> fourth month	_____
<input type="checkbox"/> excess claims	_____
<input type="checkbox"/> issue fee	_____
<input type="checkbox"/> petition fee	_____
<input type="checkbox"/> patent maintenance fee	
<input type="checkbox"/> first maintenance fee	_____
<input type="checkbox"/> second maintenance fee	_____
<input type="checkbox"/> third maintenance fee	_____
<input type="checkbox"/> patent maintenance fee surcharge	_____
<input type="checkbox"/> Other: _____	_____
_____	_____
TOTAL REFUND REQUESTED	<u>\$130.00</u>

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

The U.S. Patent and Trademark Office has charged the undersigned's deposit account the \$130.00 surcharge for late filing of the English translation of the application. It is respectfully submitted, however, that this fee had already been paid. A literal English translation of the application was filed on January 23, 2002. The \$130.00 surcharge for late filing of the English translation was, however, submitted concurrently with the application on December 18, 2001.

As evidence of Applicant's previous submission of the \$130.00 fee for late filing of the English translation, Applicant encloses a copy of the postcard indicating receipt of the \$130.00 fee for late filing of the translation on December 18, 2001 (the submitted check was in the amount of \$540.00, which amount includes the \$370.00 filing fee, \$130.00 fee for late filing of the translation, and \$40.00 recording fee).

Accordingly, as the \$130.00 fee for late filing of the English translation was submitted on December 18, 2001, it is respectfully requested that the U.S. Patent and Trademark Office has charged Deposit Account 02-2448 in error and should refund this charged fee.

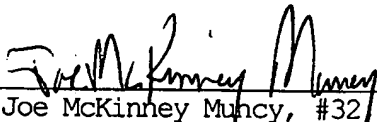
IV. MANNER OF REFUND

Please make refund by crediting Account No. 02-2448.

We respectfully request that the attached copy of this letter be returned to us with an indication that the credit has been processed.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Joe McKinney Muncy, #32 334

KM/asc  
3722-0115P  
Attachment

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

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**Deposit Account Statement**

Requested Statement Month: April 2002  
 Deposit Account Number: 022448  
 Name: BIRCH STEWART KOLASCH & BIRCH  
 Attention:  
 Address: 8110 GATEHOUSE ROAD  
 City: FALLS CHURCH  
 State: VA  
 Zip: 22042

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	1		1110-0217P	117	\$520.00	\$15,845.13
04/01	7			704	-\$316.00	\$16,161.13
04/01	15		3672-0144P	154	\$40.00	\$16,121.13
04/01	16		1254-0193P	964	\$12.00	\$16,109.13
04/01	23			704	-\$144.00	\$16,253.13
04/01	87			567	\$60.00	\$16,193.13
04/01	115			567	\$30.00	\$16,163.13
04/01	172		425-780P	126	\$180.00	\$15,983.13
04/02	4			704	-\$9.00	\$15,992.13
04/02	106		2750-1066P	228	\$980.00	\$15,012.13
04/02	127			567	\$30.00	\$14,982.13
04/02	188		1291-0199P	154	\$40.00	\$14,942.13
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04/03	6		378-352P	115	\$110.00	\$15,752.13
04/03	133		0378-0349P	581	\$40.00	\$15,712.13
04/04	3		2750-709P	228	\$925.00	\$14,787.13
04/04	251			566	\$30.00	\$14,757.13
04/04	253			566	\$15.00	\$14,742.13
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04/05	2		2950-0126P	102	\$84.00	\$14,586.13
04/05	8			704	-\$130.00	\$14,716.13
04/05	65			704	-\$20.00	\$14,736.13
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04/05	135			567	\$90.00	\$14,516.13
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04/05	176			566	\$15.00	\$14,456.13
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04/05	230		2777-0106P	966	\$108.00	\$14,218.13

## Deposit Account Statement

Page 2 of 4

04/08 24		566	\$15.00	\$14,203.13
04/09 25		701	-\$15,000.00	\$29,203.13
04/09 141		566	\$15.00	\$29,188.13
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04/09 316	0933-0185P	969	\$20.00	\$29,043.13
04/09 713	0365-0532P	964	\$40.00	\$29,003.13
04/10 15		704	-\$130.00	\$29,133.13
04/10 24		704	-\$1,410.00	\$30,543.13
04/10 629	0104-0390P	966	\$40.00	\$30,503.13
04/11 1	0655-0114P	215	\$55.00	\$30,448.13
04/11 3		704	-\$65.00	\$30,513.13
04/11 14	0696-0148P	122	\$130.00	\$30,383.13
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04/11 23	1267-0274M	581	\$200.00	\$30,383.13
04/11 25		704	-\$300.00	\$30,683.13
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04/11 177		566	\$15.00	\$30,452.13
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04/12 36		186	\$130.00	\$30,192.13
04/12 39		186	\$130.00	\$30,062.13
04/12 42		186	\$130.00	\$29,932.13
04/12 95	2750-1504P	101	\$740.00	\$29,192.13
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04/19 247	3891-0107P	581	\$40.00	\$28,227.13
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04/22 5	0717-0439P	103	\$180.00	\$27,937.13
04/22 6	0717-0439P	104	\$280.00	\$27,657.13

## Deposit Account Statement

Page 3 of 4

04/22 18		704	-\$125.00	\$27,782.13
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CHARGESSUM OF  
REPLENISH BALANCE

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\$20,371.00

\$13,653.13

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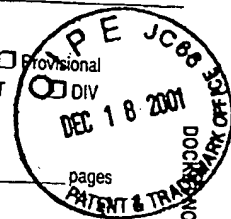


Papers Filed herewith on: Dec. 18, 2001  
DOCKET NO.: 3722-0115P ATTY.: KM  
APPLICANT(S): Kuo, Hung-Chen et al  
APPLN. NO: NEW FILED: Dec. 18, 2001  
PAT NO.:

- ☒ New Application with Transmittal Letter  
☒ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional  
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV  
☐ Filing Under 37 CFR 1.53(d) (CPA)  
☐ Filing Under 37 CFR 1.114(RCE)  
☒ Specification Consisting of: 26 pages  
☒ Combined Declaration & Power of Attorney  
☒ Assignment / Cover Letter  
☐ Letter to Official Draftsman  
☒ Drawings 17 Sheets ☒ Formal ☐ Informal ☐ Red-Ink  
☐ Completion of Filing Requirements, PCT/DO/EO/905 or Formalities Letter and Executed Declaration  
☐ Priority Document(s) / Cover Letter, No. Doc. \_\_\_\_\_  
☐ Amendment: \_\_\_\_\_  
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity  
☐ Response \_\_\_\_\_  
☐ Information Disc. Stmt. PTO-1449(s) \_\_\_\_\_ ref(s)  
☐ Notice of Appeal ☐ Appeal Brief  
☐ Issue Fee Transmittal  
☒ FEES: \$ 540.00 (Check # 5029592)  
☐ Letter: \_\_\_\_\_  
☐ Other: \_\_\_\_\_

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COMMISSIONER OF PATENTS AND TRADEMARKS  
Due Date: Dec. 19, 2001; Dec. 20, 2001  
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532901



DOCKET NO. 3722-0115P

Papers Filed herewith on: Dec. 18, 2001  
DOCKET NO.: 3722-0115P ATTY.: KM  
APPLICANT(S): Kuo, Hung-Chen et al  
APPLN. NO: NEW FILED: Dec. 18, 2001  
PAT NO.:

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☒ FEES: 540.00 (Check # 5029592)  
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3722-115

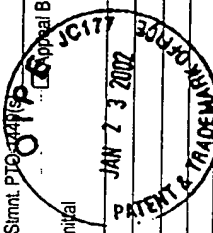




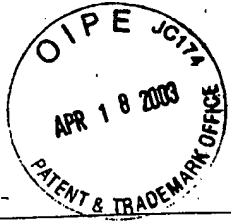
Papers Filed herewith on: JANUARY 23 2002  
DOCKET NO.: 3722-011SP ATTN: KON  
APPLICANT(S): 440 HUBBARD CHEWHEAT  
APPL. NO.: 101020160 FILED: 12-18-01  
PAT NO.:

DOCKET NO. 3722-011SP

- ☐ New Application with Transmittal Letter  
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional  
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV  
☐ Filing Under 37 CFR 1.53(d) (CPA)  
☐ Filing Under 37 CFR 1.54 (Preliminary Amendment)  
☒ Specification Consisting of: 27 pages  
☐ Combined Declaration & Power of Attorney  
☐ Assignment / Cover Letter  
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☐ Completion of Filing Requirements, PCT/DO/EO/905  
☒ Formalities Letter and Executed Declaration  
☒ Priority Document(s) / Cover Letter, No. Doc. 1  
☐ Amendment:  
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity  
☐ Response  
☐ Information Disc Summt. PTO Form 151 (5) ref(s)  
☐ Notice of Appeal ☐ Appeal Brief  
☐ Issue Fee Transmittal  
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☐ Letter:  
☐ Other:



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BIRCH, STEWART, KOLASCH AND BIRCH, LLP CPO ACCOUNT 8110 GATEHOUSE ROAD, SUITE 500 EAST P.O. BOX 747 FALLS CHURCH, VA 22040-0747		SunTrust Bank Alexandria, Virginia 68-107 560	
PAY	Five Hundred, Forty & 00/100 Dollars		
COMMISSIONER OF PATENTS AND TRADEMARKS			
TO THE ORDER OF		I.D. NO.	DATE
			12/18/01
FILING/RECORDING FEE		532901	3722-0115P
AMOUNT		\$540.00	
FOR		SL KUD ET AL.	
TC		202564983	
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